

10/568786

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

NO. 16 FEB 2006

APPLICANT: UCHIDA, S. et al.
SERIAL NO. Not yet assigned
FILING DATE: February 16, 2006
INVENTION: SEMICONDUCTOR LIGHT EMITTING DEVICE AND METHOD OF PRODUCING THE SAME
INFORMATION DISCLOSURE STATEMENT TRANSMITTAL LETTER

ATTORNEY DOCKET NO. 09792909-6622

GROUP ART UNIT: Not yet assigned

EXAMINER: Not yet assigned

Mail Stop PCT
 Comm. For Patents
 Alexandria, VA 22313-1450
 Sir:

Submitted herewith is an Information Disclosure Statement for consideration in the above-identified application. This Information Disclosure Statement is submitted:

- ☒ Within 3 months (1) of filing date of a national application; (2) of date of entry of the national stage as set forth in 37 C.F.R. §1.491 in an international application; or (3) before the mailing date of a first Office Action on the merits, whichever occurs last. (No fee is required.)
- ☐ After the mailing date of a first Office Action but before (1) mailing of a final action under 37 C.F.R. §1.113; or (2) mailing of a notice of allowance under §1.311, whichever occurs first.
- ☐ Payment for the fee set forth in 37 C.F.R. §1.17(p) accompanies this submission; or
- ☐ The certification specified in 37 C.F.R. §1.97(e) is made below. (No fee is required.)
- ☐ After the mailing of (1) a final action under 37 C.F.R. §1.113; or (2) a notice of allowance under 37 C.F.R. §1.311 whichever occurs first, but **before** payment of the issue fee. The certification specified in 37 C.F.R. §1.97(e) is made below. The Commissioner hereby is petitioned to consider the Information Disclosure Statement accompanying this submission. Payment for the Petition fee set forth in 37 C.F.R. §1.17(i)(1) accompanies this submission.
- ☐ The undersigned counsel for applicant(s) hereby certifies each item of information identified in the Information Disclosure Statement was cited in a communication from a foreign Patent Office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.
- ☐ The undersigned counsel for applicant(s) hereby certifies that no item of information contained in the Information Disclosure Statement was cited in a communication from a foreign Patent Office in a counterpart foreign application, or to the knowledge of the undersigned, after making reasonable inquiry, was known to any individual designated in 37 C.F.R. §1.56(c) more than three months prior to the filing of this Information Disclosure Statement.
- ☐ Payment by Credit Card. PTO Form 2038 in the amount of \$180.00 is enclosed.
- ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Account No. 19-3140. *A duplicate copy of this sheet is enclosed for this purpose.*

Very respectfully,

SONNENSCHN NATH & ROSENTHAL LLP

By David R. Metzger, Reg. 32,919
 David R. Metzger
 Sonnenschein, Nath & Rosenthal LLP
 P.O. Box 061080
 Wacker Drive Station, Sears Tower
 Chicago, Illinois 60606
 Telephone (312) 876-8000

When phoning re this application,
please call (312) 876-8000

CERTIFICATE OF MAILING

I hereby certify that this Information Disclosure Statement is being deposited with the United States Postal Service as in an envelope addressed to Mail Stop PCT Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450 via Express Mail bearing Label No. EV328242597US on February 16, 2006

Paula M. Theismann
 Paula M. Theismann

107508786

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT:	UCHIDA, S. et al.	ATTORNEY DOCKET NO. 09792909-6622
SERIAL NO.	Not yet assigned	GROUP ART UNIT: Not yet assigned
FILING DATE:	February 16, 2006	EXAMINER: Not yet assigned
INVENTION:	SEMICONDUCTOR LIGHT EMITTING DEVICE AND METHOD OF PRODUCING THE SAME	

INFORMATION DISCLOSURE STATEMENT TRANSMITTAL LETTER

Mail Stop PCT
Comm. For Patents
Alexandria, VA 22313-1450
Sir:

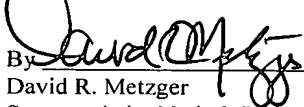
16 FEB 2006

Submitted herewith is an Information Disclosure Statement for consideration in the above-identified application. This Information Disclosure Statement is submitted:

- ☒ Within 3 months (1) of filing date of a national application; (2) of date of entry of the national stage as set forth in 37 C.F.R. §1.491 in an international application; or (3) before the mailing date of a first Office Action on the merits, whichever occurs last. (No fee is required.)
- ☐ After the mailing date of a first Office Action but before (1) mailing of a final action under 37 C.F.R. §1.113; or (2) mailing of a notice of allowance under §1.311, whichever occurs first.
 - ☐ Payment for the fee set forth in 37 C.F.R. §1.17(p) accompanies this submission; or
 - ☐ The certification specified in 37 C.F.R. §1.97(e) is made below. (No fee is required.)
- ☐ After the mailing of (1) a final action under 37 C.F.R. §1.113; or (2) a notice of allowance under 37 C.F.R. §1.311 whichever occurs first, but **before** payment of the issue fee. The certification specified in 37 C.F.R. §1.97(e) is made below. The Commissioner hereby is petitioned to consider the Information Disclosure Statement accompanying this submission. Payment for the Petition fee set forth in 37 C.F.R. §1.17(i)(1) accompanies this submission.
- ☐ The undersigned counsel for applicant(s) hereby certifies each item of information identified in the Information Disclosure Statement was cited in a communication from a foreign Patent Office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.
- ☐ The undersigned counsel for applicant(s) hereby certifies that no item of information contained in the Information Disclosure Statement was cited in a communication from a foreign Patent Office in a counterpart foreign application, or to the knowledge of the undersigned, after making reasonable inquiry, was known to any individual designated in 37 C.F.R. §1.56(c) more than three months prior to the filing of this Information Disclosure Statement.
- ☐ Payment by Credit Card. PTO Form 2038 in the amount of \$180.00 is enclosed.
- ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Account No. 19-3140. *A duplicate copy of this sheet is enclosed for this purpose.*

Very respectfully,

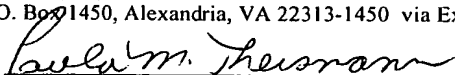
SONNENSCHN NATH & ROSENTHAL LLP

By , Reg. 32,919
David R. Metzger
Sonnenschein, Nath & Rosenthal LLP
P.O. Box 061080
Wacker Drive Station, Sears Tower
Chicago, Illinois 60606
Telephone (312) 876-8000

When phoning re this application,
please call (312) 876-8000

CERTIFICATE OF MAILING

I hereby certify that this Information Disclosure Statement is being deposited with the United States Postal Service as in an envelope addressed to Mail Stop PCT Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450 via Express Mail bearing Label No. EV328242597US on February 16, 2006


Paula M. Theismann

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

INFORMATION DISCLOSURE STATEMENT

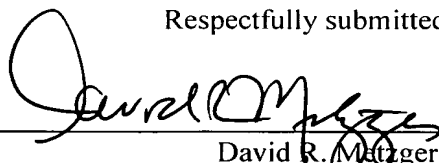
APPLICANT(S): UCHIDA, S. et al. DOCKET NO.: 09792909-6622
SERIAL NO.: Not yet assigned GROUP ART UNIT: Not yet assigned
DATE FILED: February 16, 2006 EXAMINER: Not yet assigned
INVENTION: SEMICONDUCTOR LIGHT EMITTING DEVICE AND METHOD OF
PRODUCING THE SAME

Mail Stop PCT
Commissioner for Patents
P. O. Box 1450
Alexandria, VA 22313-1450

S I R:

In accordance with the provisions of 37 C.F.R. § 1.56, Applicants request that citation and examination of the references identified on the attached PTO-1449 form, in accordance with 37 C.F.R. § 1.98, be made during the course of examination of the above-referenced application for United States Letters Patent. These references were cited in a Search Report issued in connection with PCT/JP2005/010928. Copies of the references and the search report are attached.

Respectfully submitted by,



(Reg. 32,919)

David R. Matzger

SONNENSCHN NATH & ROSENTHAL LLP

P.O. Box 061080

Wacker Drive Station

Sears Tower

Chicago, IL 60606-1080

Telephone: 312/876-8000

Fax: 312/876-7934

Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>				Complete if Known	
				Application Number	Not yet assigned 304568786
				Filing Date	February 16, 2006
				First Named Inventor	UCHIDA, S.
				Group Art Unit	Not yet assigned
Examiner Name	Not yet assigned				
Attorney Docket Number	09792909-6622				
Sheet	1	of	1		

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
	A1	US6031858	02/29/2000	Hatakoshi, G. et al.	

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Foreign Patent Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³ Number ⁴ Kind Code ⁵ (if known)				
	B1	JP11-251678 (English abstract only)	09/17/1999	Sanyo Electric Co., Ltd.		<input type="checkbox"/>
	B2	JP9-232678 (English abstract only)	09/05/1997	NEC Corp.		<input type="checkbox"/>
	B3	JP10-294529 (English abstract only)	11/04/1998	Toshia Corp.		<input type="checkbox"/>
						<input type="checkbox"/>
						<input type="checkbox"/>
						<input type="checkbox"/>

Examiner Signature		Date Considered	
-----------------------	--	--------------------	--

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.